

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Murphy, Jr. et al. : Date: October 30, 2001

Serial Number: Concurrent : Group Art Unit:

Filed: Concurrent : Examiner:

Title: Method and System for Receiving an : IBM CORPORATION
Alert Code in a Commercial Transaction : Intellectual Property Law
: IP Law N50/040-4
: 1701 North Street
: Endicott, NY 13760



INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97

**Commissioner For Patents
Washington, D. C. 20231**

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

 X 37 CFR 1.97(b) - No Fee.

 37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication is dated _____, which is not more than 3 months prior to the mailing of this statement.

 37 CFR 1.97(c) - Fee.

 37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0457.

Respectfully submitted,

By: _____

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

END920010094US1

Application Number

Applicant(s)

Murphy, Jr. et al.

Filing Date

Group Art Unit

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE
		5,724,423	03/1998	Khello			
		5,825,002	10/1998	Roslak			
		5,850,077	12/1998	Tognazzini			
		5,999,766	12/1999	Hisatomi et al.			
		US 6,230,148 B1	05/2001	Pare, Jr. et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 99/31621	06/1999	WO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Ahn, G., K. Kim, and H.Y. Youn, "Tapping Alert Protocol," Proceedings from the IEEE 9th International Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, June 14-16, 2000, pages 159-164.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.